

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

202990US-2SRD DIV

First Inventor or Application Identifier

NOBORU YAMAGUCHI, ET AL.

Title

VIDEO ENCODING AND DECODING APPARATUS

Assignee Name: KABUSHIKI KAISHA TOSHIBA

Assignee Address: 72 Horikawa-cho, Saiwa-ku,  
Kawasaki, JAPAN

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

Fee Transmittal Form (e.g. PTO/SB/17)

(Submit an original and a duplicate for fee processing)

2. ☒ Specification

Total Sheets **111**

3. ☒ Drawing(s) (35 U.S.C. 113)  
(Formals)

Total Sheets **14**

4. ☒ Oath or Declaration

Total Pages **2**

a. ☐ Newly executed (original or copy)

b. ☒ Copy from a prior application (37 C.F.R. §1.63(d))  
(for continuation / divisional w/ box 17 completed)

i. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in  
the prior application, see 37 C.F.R. §1.63(d)(2) and  
1.33(b).

5. ☐ CD-ROM or CD-R in duplicate, large table or Computer  
Program (Appendix)

6. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

a. ☐ Computer Readable Form (CRF)

b. Specification or Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); or

ii. ☐ Paper

c. ☐ Statements verifying identity of above copies

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

**ACCOMPANYING APPLICATION PARTS**

7. ☒ Assignment Papers **Recorded at Reel/Frame  
8287/0299**

8. ☐ Application Data Sheet. See 37 CFR 1.76

9. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)

10. ☐ English Translation Document (if applicable)

11. ☒ Information Disclosure  
Statement (IDS)/PTO-1449 ☐ Copies of IDS  
Citations

12. ☒ Preliminary Amendment

13. ☒ White Advance Serial No. Postcard

14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

15. ☐ Applicant claims small entity status.  
See 37 CFR 1.27

16. ☒ Other: REQUEST FOR PRIORITY, LETTER  
REQUESTING APPROVAL OF DRAWING  
CHANGES

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application no.: 09/334,769

Prior application information: Examiner: LEE, R.

Group Art Unit: 2613

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is  
considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon  
when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

☒ This application is a ☐ Continuation ☒ Division ☐ Continuation-in-part (CIP)

of application Serial No. 09/334,769 Filed on 06/16/99 which is a DIV of 09/111,751 filed 07/08/98, which is a  
DIV of 08/738,934 filed 10/24/96, now Patent No. 5,818,531.

Which was published in English

☐ Which was not published in English

☐ This application claims priority of provisional application Serial No. Filed

**19. CORRESPONDENCE ADDRESS**



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Signature:

Date: 2/15/01

Name: Raymond F. Cardillo, Jr.

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Docket No. 202990US-2SRD DIV

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

INVENTOR(S) NOBORU YAMAGUCHI, ET AL.

SERIAL NO: NEW DIV. APPLICATION

FILING DATE: HEREWITH

FOR: VIDEO ENCODING AND DECODING APPARATUS



**FEE TRANSMITTAL**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	27 - 20 =	7	× \$18 =	\$126.00
INDEPENDENT CLAIMS	6 - 3 =	3	× \$80 =	\$240.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$270 =	\$0.00
<input type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$0.00
BASIC FEE				\$710.00
TOTAL OF ABOVE CALCULATIONS				\$1,076.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
TOTAL				\$1,076.00

- ☐ Please charge Deposit Account No. 15-0030 in the amount of \_\_\_\_\_ A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of **\$1,076.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

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